

10/582224
AP3 Rec'd PCT/PTO 08 JUN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Yoko MATSUBAYASHI et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2006_0886A
Filed June 9, 2006 :

LIGHT SOURCE DEVICE, LIGHTING
DEVICE, AND LIQUID CRYSTAL DISPLAY
DEVICE

[Corresponding to PCT/JP2004/018406
Filed December 9, 2004]

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants requests consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____;
and/or
- ☐ each reference previously cited in prior parent application Serial No.
_____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the
above-entitled application, or

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before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified


a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☒ the concise explanation (**reference JP 5-29085**) contained in the specification of the present application at page 3,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
- WO 03/090252 corresponds to JP 2003-317669;**
EP 948030 corresponds to JP 2000-277056;
U.S. Patent Application Publication No. 2003/0052611 corresponds to JP 2003-178717;
U.S. Patent No. 6,331,064 and U.S. Patent Application Publication No. 2002/0027774
correspond to JP 2000-162593;
U.S. Patent Application Publication No. 2001/0050735 corresponds to JP 2002-82327;
and
U.S. Patent No. 6,194,821 corresponds to JP 11-329365.
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Yoko MATSUBAYASHI et al.

By 

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June 8, 2006

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: June 9, 2006

ATTY DOCKET NO.
2006_0886ASERIAL NO.
NEWAPPLICANT
Yoko MATSUBAYASHI et al.FILING DATE
June 9, 2006

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2003/0052611	3/2003	Yamamoto et al.			
	AB	6,331,064	12/2001	Nishiyama et al.			
	AC	2002/0027774	3/2002	Nishiyama et al.			
	AD	2001/0050735	12/2001	Yajima et al.			
	AE	6,194,821	2/2001	Nakamura			
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ							
	AK							
	AL							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	
	AN	
	AO	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.

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PATENT AND TRADEMARK OFFICE

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	AA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AB	5-29085	2/1993	JP			Abstract
	AC	2003-317669	11/2003	JP			Abstract
	AD	03/090252	10/2003	WO			Abstract
	AE	2000-277056	10/2000	JP			Abstract
	AF	948030	10/1999	EP			
	AG	2003-178717	6/2003	JP			Abstract
	AH	5-190150	7/1993	JP			Abstract
	AI	10-64478	3/1998	JP			Abstract
	AJ	2000-162593	6/2000	JP			Abstract
	AK	2002-82327	3/2002	JP			Abstract
	AL	11-329365	11/1999	JP			Abstract
	AM	10-188908	7/1998	JP			Abstract
	AN	2001-196028	7/2001	JP			Abstract
	AO	2002-184360	6/2002	JP			Abstract
	AP	11-86797	3/1999	JP			Abstract
	AQ	2000-311659	11/2000	JP			Abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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